

§ 970.2601

Subpart Z—Miscellaneous

§ 970.2601 Additional information.

Any United States citizen filing notice under § 970.2402 or § 970.2501 of this part shall provide such additional information as the Administrator may require as necessary and appropriate to implement section 101 of the Act.

[45 FR 76662, Nov. 20, 1980]

PART 971—DEEP SEABED MINING REGULATIONS FOR COMMERCIAL RECOVERY PERMITS

Subpart A—General

Sec.

- 971.100 Purpose.
- 971.101 Definitions.
- 971.102 Nature of permits.
- 971.103 Prohibited activities and restrictions.
- 971.104 OMB control number.

Subpart B—Applications

- 971.200 General.

CONTENTS

- 971.201 Statement of financial resources.
- 971.202 Statement of technological experience and capabilities.
- 971.203 Commercial recovery plan.
- 971.204 Environmental and use conflict analysis.
- 971.205 Vessel safety and documentation.
- 971.206 Statement of ownership.
- 971.207 Antitrust information.
- 971.208 Fee.
- 971.209 Processing outside the United States.

PROCEDURES

- 971.210 Determination whether application is complete for further processing.
- 971.211 Consultation and cooperation with Federal agencies.
- 971.212 Public notice, hearing and comment.
- 971.213 Amendment to an application.
- 971.214 Consolidated license and permit procedures. [Reserved]

Subpart C—Certification of Applications

- 971.300 General.
- 971.301 Required findings.
- 971.302 Denial of certification.
- 971.303 Notice of certification.

Subpart D—Issuance/Transfer: Terms, Conditions and Restrictions

- 971.400 General.

15 CFR Ch. IX (1–1–05 Edition)

ISSUANCE/TRANSFER, MODIFICATION/REVISION; SUSPENSION/REVOCATION

- 971.401 Proposal to issue or transfer and proposed terms, conditions and restrictions.
- 971.402 Consultation and cooperation with Federal and State agencies.
- 971.403 Freedom of the high seas.
- 971.404 International obligations of the United States.
- 971.405 Breach of international peace and security involving armed conflict.
- 971.406 Environmental effects.
- 971.407 Safety at sea.
- 971.408 Processing outside the United States.
- 971.409 Denial of issuance or transfer.
- 971.410 Notice of issuance or transfer.
- 971.411 Objections to terms, conditions and restrictions.
- 971.412 Changes in permits and permit terms, conditions, and restrictions.
- 971.413 Revision of a permit.
- 971.414 Modification of permit terms, conditions, and restrictions.
- 971.415 Duration of a permit.
- 971.416 Approval of permit transfers.
- 971.417 Suspension or modification of activities; suspension or revocation of permits.

TERMS, CONDITIONS AND RESTRICTIONS

- 971.418 Diligence requirements.
- 971.419 Environmental protection requirements.
- 971.420 Resource conservation requirements.
- 971.421 Freedom of the high seas requirements.
- 971.422 Safety at sea requirements.
- 971.423 Best available technology.
- 971.424 Monitoring requirements.
- 971.425 Changes of circumstances.
- 971.426 Annual report and records maintenance.
- 971.427 Processing outside the United States.
- 971.428 Other necessary permits.
- 971.429 Special terms, conditions and restrictions.
- 971.430 Other Federal requirements.

Subpart E—Resource Development

- 971.500 General.
- 971.501 Resource assessment, recovery plan, and logical mining unit.
- 971.502 Conservation of resources.
- 971.503 Diligent commercial recovery.

Subpart F—Environmental Effects

- 971.600 General.
- 971.601 Environmental requirements.
- 971.602 Significant adverse environmental effects.
- 971.603 At-sea monitoring.
- 971.604 Best available technologies (BAT) and mitigation.

Nat'l Oceanic and Atmospheric Adm., Commerce

§971.101

- 971.605 Stable References Areas. [Reserved]
971.606 Onshore information.

Subpart G—Safety of Life and Property at Sea

- 971.700 General.
971.701 Criteria for safety of life and property at sea.

Subpart H—Miscellaneous

- 971.800 General.
971.801 Records to be maintained and information to be submitted by licensees and permittees.
971.802 Public disclosure of documents received by NOAA.
971.803 Relinquishment and surrender of licenses and permits.
971.804 Amendment to regulations for conservation, protection of the environment, and safety of life and property at sea.
971.805 Computation of time.

Subpart I—Uniform Procedures

- 971.900 Applicability.
971.901 Formal hearing procedures.

Subpart J—Enforcement

- 971.1000 General.
971.1001 Assessment procedure.
971.1002 Hearing and appeal procedures.
971.1003 License and permit sanctions.
971.1004 Remission or mitigation of forfeitures.
971.1005 Observers.
971.1006 Proprietary enforcement information.
971.1007 Advance notice of civil actions.

AUTHORITY: 30 U.S.C. 1401 *et seq.*

SOURCE: 54 FR 525, Jan. 6, 1989, unless otherwise noted.

Subpart A—General

§971.100 Purpose.

The purpose of this part is to implement the responsibilities and authorities of the Administrator of the National Oceanic and Atmospheric Administration (NOAA) pursuant to Public Law 96-283, the Deep Seabed Hard Mineral Resources Act (the Act), to issue to eligible United States citizens permits for the commercial recovery of deep seabed hard minerals.

§971.101 Definitions.

For purposes of this part, the term

(a) *Act* means the Deep Seabed Hard Mineral Resources Act (Pub. L. 96-283; 94 Stat. 553; 30 U.S.C. 1401 *et seq.*);

(b) *Administrator* means the Administrator of the National Oceanic and Atmospheric Administration, or the Administrator's designee;

(c) *Affected State* means any State with a coastal zone management program approved under Section 306 of the Coastal Zone Management Act, as amended, where coastal zone land and water uses are affected by the issuance of a commercial recovery permit under the provisions of the Act or this part;

(d) *Applicant* means an applicant for a commercial recovery permit pursuant to the Act and this part; as used in subparts H, I and J of this part, "applicant" also means an applicant for an exploration license pursuant to the Act and part 970 of the title. "Applicant" also means a proposed permit transferee;

(e) *Commercial recovery* means—

(1) Any activity engaged in at sea to recover any hard mineral resource at a substantial rate for the primary purpose of marketing or commercially using such resource to earn a net profit, whether or not such net profit is actually earned;

(2) If such recovered hard mineral resource will be processed at sea, such processing; and

(3) If the waste of such activity to recover any hard mineral resource, or of such processing at sea, will be disposed of at sea, such disposal;

(f) *Continental Shelf* means—

(1) The seabed and subsoil of the submarine areas adjacent to the coast, but outside the area of the territorial sea, to a depth of 200 meters or, beyond that limit to where the depth of the superjacent waters admits of the exploitation of the natural resources of such submarine area; and

(2) The seabed and subsoil of similar submarine areas adjacent to the coast of islands;

(g) *Controlling interest*, for purposes of paragraph (v)(3) of this section, means a direct or indirect legal or beneficial interest in or influence over another person arising through ownership of capital stock, interlocking directorates or officers, contractual relations, or